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**PATENT**  
Docket No. 11245/47102

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : Zhenping ZHU  
Serial No. : 09/865,198  
Filing Date : May 24, 2001  
For: : BISPECIFIC IMMUNOGLOBULIN-LIKE ANTIGEN  
BINDING PROTEINS AND METHOD OF  
PRODUCTION  
Examiner : To Be Assigned  
Art Unit : 1644

Assistant Commissioner for Patents  
Washington D.C. 20231  
BOX SEQUENCE

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to Assistant Commissioner for Patents, Washington, D.C. 20231 on  
September 28, 2001.

Lawrence P. Casson (Reg. No. 46,606)

**SUBMISSION OF**  
**SEQUENCE LISTING STATEMENTS**

SIR:

**STATEMENT UNDER 37 CFR §1.821(f)**

I hereby state that the information recorded in computer readable form is identical to  
the written sequence listing.


**STATEMENT UNDER 37 CFR §1.821(g)**

I hereby state that the submission, filed in accordance with 37 CFR 1.821(g), does not  
include new matter.

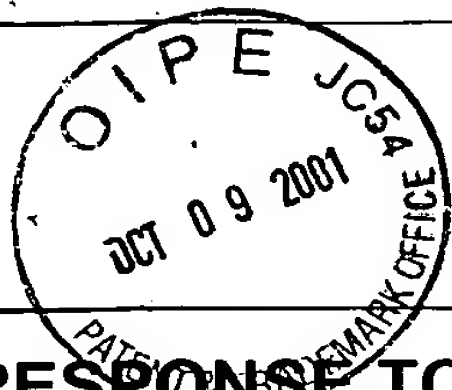
Respectfully submitted,  
KENYON & KENYON

Dated: September 28, 2001

By:

  
Lawrence P. Casson  
Reg. No. 46,606

One Broadway  
New York, NY 10004  
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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION --  
FILING DATE GRANTED**

Docket Number:  
11245/47102

Application Number  
**09/865,198**

Filing Date  
**May 24, 2001**

Examiner  
**To Be Assigned**

Art Unit  
**1644**

Invention Title  
**BISPECIFIC IMMUNOGLOBULIN-LIKE  
ANTIGEN BINDING PROTEINS AND METHOD  
OF PRODUCTION**

Inventor(s)  
**Zhenping ZHU**

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231

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Date: Sept. 28, 2001 Reg. No. 46,606

Signature: Lawrence P. Casson

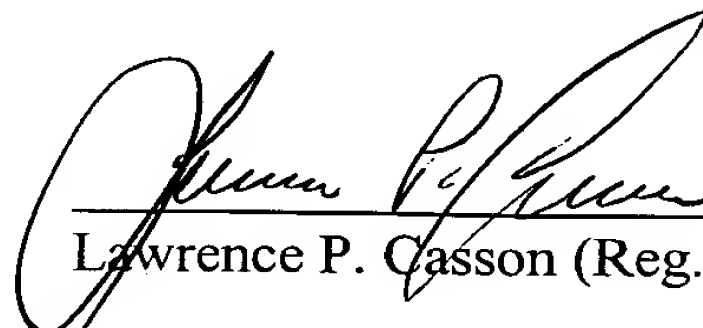
In response to the Notice to File Missing Parts of Nonprovisional Application, Filing Date Granted, please find enclosed a signed Declaration and a signed Appointment of Power of Attorney by Assignee to complete the requirements for the above-referenced application under 37 C.F.R. § 1.63. We also enclose a Statement that the Computer-Readable Disk and Printed Sequence Listing are the same (37 CFR §1.821(f)) and a Statement that the Sequence Listing Contains No New Matter (37 CFR §1.821(g)). A copy of the Notice to File Missing Parts of Application mailed July 16, 2001 is enclosed.

1. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.16(e) surcharge fee of **\$65.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.
2. Applicants hereby request a **one-month extension of time** for responding to the July 16, 2001 Notice, which set a two-month period for response. The extended period for response expires on October 16, 2001. Please charge the **\$55.00** extension fee to the deposit account of Kenyon & Kenyon, deposit account number 11-0600. The Commissioner is also authorized to charge any additional fees or credit any overpayment to deposit account number 11-0600.

3. A copy of this sheet is enclosed.

Dated: *Sep 7. 28, 2001*

By:



Lawrence P. Casson (Reg. No. 46,606)

KENYON & KENYON

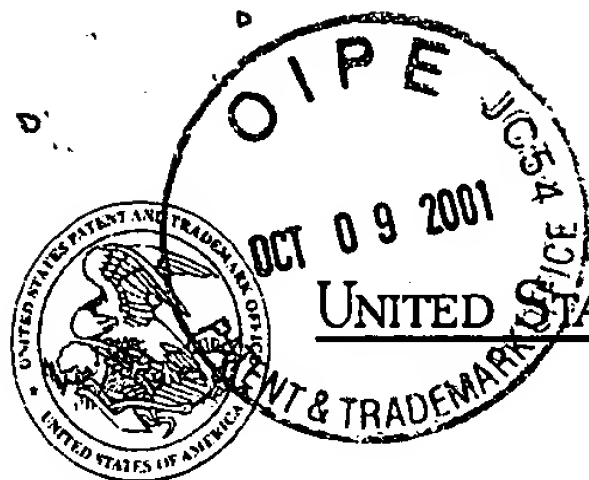
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,198	05/24/2001	Zhenping Zhu	11245/47102

CONFIRMATION NO. 3886

## FORMALITIES LETTER



\*OC000000006302109\*

Kenyon & Kenyon  
One Broadway  
New York, NY 10004

Date Mailed: 07/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 65.**
- o This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

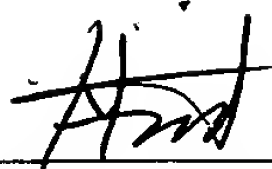
**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE